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SHEET 1 OF 1

	FORM PTO-14 (Modified)	449	U.S. Dept. of Co Patent and Trader		Atty. Docket No.:	1631.002	Seria	al No.: O	9/475,70
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PARTITION   Document Number   Date   Name   Class   Subclass   Filing Date   If Appropriat	-	veral she	eets if necessary)		Filing Date: Dece	ember 30, 199	9 Group	: 4543-	1635
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<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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A Dkt No. 1631.002 2302-1631

COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

# FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) Sheet \_1\_ of \_5\_

In the Application of BARNETT et al.

Serial No.: 09/475,704

Art Unit: 1633 1675

Filed: December 30, 1999

Examiner: Shin Lin Chen

Title: POLYNUCLEOTIDES ENCODING ANTIGENIC HIV TYPE C POLYPEPTIDES, POLYPEPTIDES AND USES THEREOF

### U.S. PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Date	Name	Class	Sub Class	Filing Date
UN	AA-2	4,652,639	March 24, 1987	Stabinsky			
	AB-2	4,861,707	August 29, 1989	Ivanoff et al.			
	AC-2	5,082,767	January 21, 1992	Hatfield et al.		l	
	AD-2	5,130,247	July 14, 1992	Kniskern et al.			
	AE-2	5,156,949	October 20, 1992	Luciw et al.			
	AF-2	5,688,688	November 18, 1997	Luciw et al.			
	AG-2	5,786,464	July 28, 1998	Seed		L	
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	AN-2	5,876,731	March 2, 1999	Sia et al.			
BV	AO-2	6,090,388	July 18, 2000	Wang	}		

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COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

## FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) Sheet 2 of 5

In the Application of BARNETT et al.

Serial No.: 09/475,704

Art Unit: 1633 635

Filed: December 30, 1999

Examiner: Shin Lin Chen

Title: POLYNUCLEOTIDES ENCODING ANTIGENIC HIV TYPE C POLYPEPTIDES, POLYPEPTIDES AND USES THEREOF

### FOREIGN PATENT DOCUMENTS

Exa Init		Ref. Desig.	Document No.	Publication Date	Country or Patent Office	Class	Sub Class	Trans YES	lation NO
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	AZ-2	Burton et al., AIDS 11(Suppl. A):S87-S98-(1997)

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citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Atty Dkt No. 1631.002 2302-1631

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# FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) Sheet 3 of 5

In the Application of BARNETT et al.

Serial No.: 09/475,704

Art Unit: 4633 1635

Filed: December 30, 1999

Examiner: Shin Lin Chen

Title: POLYNUCLEOTIDES ENCODING ANTIGENIC HIV TYPE C POLYPEPTIDES, POLYPEPTIDES AND USES THEREOF

Exam. Init.	Ref. Desig.	Description
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	BB-2	Cheng Mayer, PNAS USA 86:8575-8579 (1989)
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	BE-2	Earl et al., J. Virol 65:31-41-(1991)
	BF-2	Fiore et-al. Virol: 204:297-303 (1994)
	BG-2	Freed, E.O., <i>Virology</i> <u>251</u> :1-15 (1998)
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	BM-2	Kang et al., <i>PNAS USA</i> 88:6171-6175 (1991)
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	BO-2	-Lu-et-al., AIDS Res. Hum. Retroviruses 14(2):151-155 (1998)

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# FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) Sheet \_4\_ of \_5\_

In the Application of BARNETT et al.

Serial No.: 09/475,704

Art Unit: 1633 \635

Filed: December 30, 1999

Examiner: Shin Lin Chen

Title: POLYNUCLEOTIDES ENCODING ANTIGENIC HIV TYPE C POLYPEPTIDES, POLYPEPTIDES AND USES THEREOF

Exam. Init.	Ref. Desig.	Description
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COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

# FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) Sheet \_5\_ of \_5\_

In the Application of BARNETT et al.

Serial No.: 09/475,704

Art Unit: 1633 675

Filed: December 30, 1999

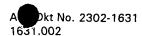
Examiner: Shin Lin Chen

Title: POLYNUCLEOTIDES ENCODING ANTIGENIC HIV TYPE C POLYPEPTIDES, POLYPEPTIDES AND USES THEREOF

Exam. Init.	Ref. Desig.	Description
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,	CH-2	Wang et al., Virology <u>200</u> :524-534 (1994)
	CI-2	Weiss et al., Nature (London)-316:69-72 (1985)
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	CL-2	Wyatt-et-al:, Nature 393:705-711 (1998)
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Washington, D.C. 20231

## FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)

Sheet \_1\_ of \_1\_

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Serial No.: 09/475,704

Art Unit: 1633

Filed: December 30, 1999

Examiner: Shin Lin Chen

Title: POLYNUCLEOTIDES ENCODING ANTIGENIC HIV TYPE C POLYPEPTIDES, POLYPEPTIDES AND USES THEREOF

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BY	AB-1	WO 95/24485	September 14, 1995	PGI WIPO				
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Bh	AF-1	WO 99/41398	August 19, 1999	PET WIPO				

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Date Considered: